

FORT SILL APACHE GAMING COMMISSION



INDIVIDUAL RENEWAL APPLICATION

POSITION (Job Title and Department)

NAME (Last, First, and Middle)

Please read carefully and follow the licensing instructions.

1. Use blue or black ink only when completing this application form.
2. All answers should be typed or neatly printed; this form will not be accepted if not clear and complete.
3. Answer all questions accurately and in as much detail as possible. If a question does not pertain to you, write "N/A" (not applicable). (no questions should be left blank.
4. The Authorization for Release of Information form, on the third page will need to be signed in front of a notary. There is one available at the FSAGC Office for those with scheduled appointments.
5. All requested documents must be included with the application at the time of submission.
6. Any information omitted on the application could lead to your application being denied.
7. We recommend that you keep a copy of your completed application for your records.
8. If the application is not legible it will not be accepted. Any corrections, changes or other alterations must have a single line drawn through it along with the initials of the Applicant.
9. All notices regarding your application will be sent to the address which you provide on this form. You must immediately notify the Fort Sill Apache Gaming Commission of any changes of address.
10. All vendor technicians Principals, Board of Directors, any person who has a 5% or greater ownership of any type of stock or other right(s) of ownership and remote access technicians must include a \$150 annual application fee.



P.O. Box 1377, Lawton, OK 73502 • p (580) 351-1443 • f (580) 354-1500

Individual Renewal Application (cont.)

Copies of the following supporting documents MUST BE submitted with the completed application form or the background process will not be started.

11. A current state issued driver's license or identification card issued by a federal, state or local government agency that has a photograph and identifying information (a copy to be attached to this application)
12. A social security card (a copy to be attached to this application only if there have been any changes such as name.)
13. A valid alien registration card or work permit if applicable (a copy to be attached to this application).
14. All supporting paperwork for any criminal offenses, if any, dispositions, proof of payments, community service, etc...(a copy to be attached to this application).

PRIVACY NOTICE NOTICE TO APPLICANT

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. 2701 *et seq.* The purpose of the requested information is to determine the eligibility of individuals to be granted a gaming license. The information will be used by the Tribal gaming regulatory authorities and by the National Indian Gaming Commission (NIGC) members and staff who have need for the information in the performance of their official duties. The information may be disclosed by the Tribe or NIGC to appropriate Federal, Tribal, State, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the NIGC in connection with the issuance, denial, or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to license you for a primary management official or key employee position. The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

NOTICE REGARDING FALSE STATEMENTS

A false statement on any part of your license application may be grounds for denying a license or the suspension or revocation of a license. Also, you may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).

I have read and understand the instructions for filling out a gaming license application and I understand that any omitted information could lead to being denied a gaming license by the FSAGC.

Applicant's Signature

Date

FORT SILL APACHE GAMING COMMISSION

Form date 3/17/17

AUTHORIZATION FOR RELEASE OF INFORMATION

PRESENTED TO: FORT SILL APACHE GAMING COMMISSION

I, _____,
(Print/type applicant's full legal name)

Hereby authorize release to the Fort Sill Apache Gaming Commission (FSAC) any information requested in order for the FSAGC to determine my suitability for involvement in Indian gaming.

This document authorizes release of requested information whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or common law privilege.

I agree to accept any risk of adverse public notice, embarrassment, criticism or financial loss that may result from use of information that is obtained in connection with a background investigation for the purpose listed in the first paragraph of this document.

I authorize release of any information related to my activities including: schools, property interests (real and personal), employment, criminal justice agencies, regulatory agencies, businesses, financial institutions and lending institutions.

I authorize review and copying of all documents.

I relinquish any right that I may otherwise have to pursue a cause of action against any person (or his or her agent) to whom this request is presented when such cause of action arises out of a response to a request for information pursuant to the Indian Gaming Regulatory Act of 1988 (25 U.S.C. 2701 et seq.)

I agree that FSAGC may request a consumer credit report on myself and or my company and I agree that a consumer credit report may be obtained and I agree to provide any information necessary to expedite or facilitate said consumer credit report.

I further agree to indemnify and hold harmless any person to whom this request is lawfully presented. Such indemnification and holding harmless includes all claims, damages, losses and expenses, including reasonable attorneys' fees.

A reproduction of this authorization is the same as the original.

Executed at (city) _____, (state) _____

Subscribed and sworn to before me

On this _____ day of _____, 20 _____

On this _____ day of _____, 20 _____

Signature: _____

Notary Public

FORT SILL APACHE TRIBAL GAMING LICENSE RENEWAL APPLICATION

SECTION ONE GENERAL INFORMATION

Please review all questions carefully before preparing your application.

POSITION (Job Title, Title and Department)	DATE OF BIRTH/PLACE OF BIRTH
NAME (Last, First, and Middle)	SOCIAL SECURITY NUMBER (optional)
MAILING ADDRESS (Include apartment number, if any)	DRIVERS LICENSE NUMBER's FOR THE PAST 5 YEARS
CITY COUNTY	E-MAIL ADDRESS
STATE/ZIP	HOME/CELL TELEPHONE ()
GENDER : <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE	WORK TELEPHONE ()
U.S. CITIZEN <input type="checkbox"/> YES <input type="checkbox"/> NO IF "NO," attach details and indicate Alien Registration Number below. (Also attach a copy of the card)	HAVE YOU EVER BEEN IN THE MILITARY? If yes provide a PHS1867 or DD214. List dates of service and discharge type.
NICKNAMES, ALIAS, MAIDEN, ETC. USED (full name and year used/changed)	LIST ALL LANGUAGES SPOKEN

SECTION TWO RESIDENTIAL HISTORY

Complete the chart below with all of your residences since the date you last completed an application with the FSAGC. Beginning with the most recent and working backwards. If you need more space, copy this blank form or attach additional sheets.

Street Address	City/State/Zip	From: (month/year)	To: (month/year)
Previous Addresses			

SECTION THREE

EMPLOYMENT HISTORY

A resume' though helpful is not acceptable for application purposes. Complete the chart below with all of your employment history since the date you last completed an application with the FSAGC. Start with your present or last position, and then work backward. If there are any time frames in which you were unemployed, attending school, or doing volunteer work please list these in order as well. If you need more space, copy this blank form or attach additional sheets.

Present Employer	Employer's Address	Employer's Phone Number	
Your Title and Department	From: (month/year) To: (month/year)	Avg Hrs Per Wk	Last Salary
Immediate Supervisor's Name		No. of Employees Supervised	

Have there been any disciplinary actions against you, written or verbal, in the past 2 years? If yes explain.

Specific Duties:

Present or Last Employer	Employer's Address	Employer's Phone Number	
Your Title	From: (month/year) To: (month/year)	Avg Hrs Per Wk	Last Salary
Immediate Supervisor's Name	Reason for Leaving	No. of Employees Supervised	

Ownership interest in this business?

Specific Duties:

Present or Last Employer	Employer's Address	Employer's Phone Number	
Your Title	From: (month/year) To: (month/year)	Avg Hrs Per Wk	Last Salary
Immediate Supervisor's Name	Reason for Leaving	No. of Employees Supervised	

Ownership interest in this business?

Specific Duties:

SECTION FOUR

PROFESSIONAL RELATIONSHIPS

A. Professional Relationships with Indian Tribes

Describe any existing or previous business relationships with Indian Tribes including ownership or interest in those businesses since the date you last completed an application with the FSAGC. If you need more space, copy this blank form or attach additional sheets.

Name of Tribe	Street Address/City/State/Zip	Description of ownership or interest in the business

B. Professional Relationships within the Gaming Industry

Describe any existing or previous business relationships within the gaming industry including ownership or interest in those businesses since the date you last completed an application with the FSAGC. If you need more space, copy this blank form or attach additional sheets.

Name of Tribe	Street Address/City/State/Zip	Description of ownership or interest in the business

SECTION FIVE

LICENSES OR PERMITS

A. Gaming Industry

List any application for a license or permit related to gaming for which you have applied since the date you last completed an application with the FSAGC . If denied a license or had any disciplinary action taken against you please note that as well. If you need more space, copy this blank form or attach additional sheets.

Name of Licensing Agency	Street Address/City/State/Zip	Description of License or Permit	Disposition of License or Permit

B. Occupational License

List any application for a license or permit not related to gaming for which you have applied since the date you last completed an application with the FSAGC . If denied a license or had any disciplinary action taken against you please note that as well. If you need more space, copy this blank form or attach additional sheets.

Name of Licensing Agency	Street Address/City/State/Zip	Description of License or Permit	Disposition of License or Permit

SECTION SIX CRIMINAL HISTORY

IMPORTANT NOTICE

Your fingerprints will be submitted to the FBI's National Crimes Information Center, a comprehensive law enforcement database containing federal and state criminal arrest and conviction records. A report will be returned to the National Indian Gaming Commission and the Fort Sill Apache Gaming Commission containing any arrest and conviction information in the database associated with your fingerprints. The content of this report will be compared with the information contained in this application. If you have failed to disclose any arrest or convictions in this application, such omission(s) will be taken into account in assessing your character, honesty, integrity and suitability for licensure and will result in the denial of the gaming license. Often Court matters expunged on the District Court level will be included in the FBI report. Not all matters that are expunged from your record will be deleted from the FBI database, which could give the impression that you failed to disclose the matter in your application. In the event that you do not disclose any charges that were expunged from your record and it does appear on the FBI report, you will be asked to supply an Order of the Court stating that the matter was expunged.

Prior to answering the questions contained in the next section, carefully review the following definitions and instructions. You may be asked to supply a disposition or Court document to any matter you disclose in your application. If you have any such document(s) in your possession, it is advised that you bring those documents with you to your appointment or that they are attached when mailed.

Definitions:

- **Date of Charge(s):** Refers to the date you were arrested, detained, held, taken into custody or the date that formal charges were brought against you for any unlawful conduct that you were alleged to have committed.
- **Offense Charged:** Refers to any information, complaint or indictment filed in any tribal, state, or federal Court alleging that you have committed any "offense". It can also refer to any complaint that may not have resulted in any formal indictment but did result in an arrest. Includes all felony and misdemeanor crimes regardless of the seriousness of the alleged conduct, including serious violations of any motor vehicle code or ordinance such as driving while intoxicated, driving under the influence of a controlled substance, restitution paid on any bogus check. However, this does not include minor traffic violations.
- **Disposition:** Refers to the outcome of the matter such as, any convictions, dismissals, deferred sentences or a matter that was expunged or dismissed.
- **Sentence:** Refers to any time you were ordered to serve in any penal institution, County jail, DUI School, probation or a diversionary program, deferred or suspended sentence.
- **Incarcerated:** Refers to any jail (city or county) or state correctional facility, in which you were held, detained or taken into custody.
- **Probation:** Will need to be marked "yes" if you are currently paying on any fines, restitution or are on a deferred sentence. If a case is closed but payments are still pending in any matter you will need to state that. If a matter is still pending but a sentence has not been ordered at this time you will need to disclose that information.
- **Deferred:** Refers to a plea excepted by a judge but does not find the defendant guilty. Instead the judge defers the sentencing for a period of time upon certain conditions that the defendant must satisfy. If the defendant complies with the terms of deferment the defendant will be allowed to withdraw his or her plea and any record of a plea will be expunged.

Instructions:

Answer "YES" and provide a full explanation of the facts and circumstances for each incident even if:

- You did not commit the offense charged.
- The charges were dismissed, deferred or downgraded to a lesser charge.
- You completed pretrial intervention or equivalent diversionary program.
- You were not convicted.
- You did not serve a prison or jail sentence.
- The charges or offenses occurred more than ten (10) year ago.
- You made or are currently making restitution payment to the District Attorney's Office.

Answer "NO" only if you have never been arrested or charged with any offense as defined above.

Form date 3/17/17

Felony History

If you have ever been convicted of, charged with or are currently being prosecuted for a felony in this or any other country, complete the chart below with any information not listed since the date you last completed an application with the FSAGC. If you need more space, copy this blank form or attach additional sheets.

Nature of Charge/Offense and Location where Incident Occurred	Date of Charge	Name and Address of Law Enforcement Agency or Court Involved	Disposition and Sentence

A. Misdemeanor History

If you have ever been convicted of, charged with or are currently being prosecuted for a misdemeanor (excluding minor traffic violations) in this or any other country since the date you last completed an application with the FSAGC, complete the chart below. If you need more space, copy this blank form or attach additional sheets.

Nature of Charge/Offense and Location where Incident Occurred	Date of Charge	Name and Address of Law Enforcement Agency or Court Involved	Disposition and Sentence

B. Criminal Charge

If you have ever had a criminal charge (excluding minor traffic charges) whether or not there is a conviction, which is not otherwise listed under felonies and/or misdemeanors (above) in this or any other country since the date you last completed an application with the FSAGC, complete the chart below. If you need more space, copy this blank form or attach additional sheets.

Nature of Charge/Offense and Location where Incident Occurred	Date of Charge	Name and Address of Law Enforcement Agency or Court Involved	Disposition and Sentence

SECTION SEVEN

AFFIRMATION & CONSENT

I, _____, state under penalty of perjury that the entire Application Form, statements, attachments, and supporting schedules are true and correct to the best of my knowledge and belief, and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue a gaming license by the Fort Sill Apache Gaming Commission.

Further, I am aware that later discovery of an omission or misrepresentation made in the above statements may be grounds for the denial of a gaming license or the revocation of the license. I am voluntarily submitting this application to the Fort Sill Apache Gaming Commission under oath with full knowledge that I may be charged with perjury or other crimes for intentional omissions and misrepresentations pursuant to The Indian Gaming Regulatory Act.

I further consent to any background investigation necessary to determine my present and continuing suitability and that this consent continues as long as I hold a Fort Sill Apache Gaming License, and for 90 days following the expiration or surrender of such gaming license. I also agree that the Fort Sill Apache Gaming Commission, its agencies, officers and assigns, shall be entitled to collect from me all expenses incurred in recovery of any debt created by this license application, or in pursuing any other remedy provided by law, including but not limited to reasonable attorney fees and costs.

Printed Full Legal Name _____

Signature _____ Date _____

AGREEMENT TO DISCLOSE ARRESTS OR CHARGES

I, _____ understand that if I am arrested, whether incarcerated or not, charged with any type of violation other than traffic tickets, including warrants and bogus checks, while pending licensing or while working for the Apache Casino Hotel, I have three (3) business days to notify the Fort Sill Apache Gaming Commission (FSAGC), Licensing Department with the following information:

- Arrest/Charge date
- Charge(s) and circumstances of arrest and/or violation(s)
- Court date appearance, disposition
- Documentation for court directives such as: fine amount, payment schedule, DUI or drug court assessments, community service, classes, etc.
- Receipts for fine or court directed payments and schedule of payments.

I understand that failure to notify the FSAGC within the prescribed timeframe of any arrests, charges or outstanding warrants issued during the pending licensing period and/or the time I am employed by the Apache Casino Hotel could lead to the immediate suspension of my gaming license/permit. Disclosure will not result in the automatic loss of your license, however, a subsequent conviction will be reviewed and/or investigated by the Gaming Commission.

Signature of Licensee/Applicant

Date

Signature of FSAGC Representative

Date

Fort Sill Apache Employee Fiduciary Responsibility Agreement

All employees hold a position of trust. They make decisions that affect the future of an organization. Large amounts of money can be involved with various appointments or contracts, making it vital for directors to act and make decisions that do not benefit, support or promote their own agendas, but are made in good faith and with the primary duty being to the tribe and its businesses. The community, tribal leadership, and employees should be confident that an employee will act in good faith and always in accordance with the law.

They should also:

- not take advantage of their position to further their own needs;
- be honest and industrious;
- never use information gained through their privileged position to advantage a friend/associate outside the organization;
- provide adequate information to authorized persons or members when requested and not mislead them in any way;
- disclose any potential conflict of interest;
- act with care and diligence;
- maintain confidentiality of information that is only made available to the decision makers;
- never knowingly place the organization in a potentially litigious position; and
- Ensure all decisions made are to the advantage of the organization or tribe, not the individual or any particular interest group.
- Ensure they act according to the constitution and ordinance of the Fort Sill Apache Tribe.

The public gaming operations license/ work permit is a revocable privilege, no holder thereof shall be deemed to have an interest in any vested rights therein or thereunder. The burden of proving qualifications to hold any license/ work permit rests with the employee. The Gaming Commission is charged by law with the duty of continually observing the conduct of all employees to the end that licenses/ work permits shall not be held by unqualified or disqualified persons or unsuitable person or persons whose operations are conducted in an unsuitable manner.

Acceptance of a license/ work permit or renewal thereof or condition imposed thereon by an employee constitutes agreement on the part of the employee to be bound by all the regulations and/or conditions of the Gaming Commission and by the provisions of the Gaming Ordinance as the same are now or may hereafter be amended or promulgated. It is the responsibility of the licensee to keep him/her informed of the contents of all such regulations, provisions and conditions, and ignorance thereof will not excuse the violations.

Employee Signature: _____

Employee Printed Name: _____

Date of Acknowledgement: _____

NONCRIMINAL JUSTICE APPLICANT'S PRIVACY RIGHTS

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for a job or license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below.

- You must be provided written notification¹ that your fingerprints will be used to check the criminal history records of the FBI.
- If you have a criminal history record, the officials making a determination of your suitability for the job, license, or other benefit must provide you the opportunity to complete or challenge the accuracy of the information in the record.
- The officials must advise you that the procedures for obtaining a change, correction, or updating of your criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34.
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the job, license, or other benefit based on information in the criminal history record.²

You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council.³

If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at <http://www.fbi.gov/about-us/cjis/background-checks>.

If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.)

¹ Written notification includes electronic notification, but excludes oral notification.

² See 28 CFR 50.12(b).

³ See 5 U.S.C. 552a(b); 28 U.S.C. 534(b); 42 U.S.C. 14616, Article IV(c); 28 CFR 20.21(c), 20.33(d) and 906.2(d).